



## CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: August 2, 2007 Name: Tadashi Horie Reg. No. 40,437 Signature:

BRINKS  
HOFFER  
GILSON  
& LIONE

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Toshihiko OBA

Appln. No.: 09/673,360

Filed: October 16, 2000

For: SPEECH TRANSFORMATION  
METHOD AND APPARATUS

Attorney Docket No: 11934/3

Examiner: Pierre Myriam

Art Unit: 2626

Mail Stop Amendment  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

## TRANSMITTAL

## Attached is/are:

- ☒ Transmittal (in duplicate); Sixth Supplemental Information Disclosure Statement; Form PTO-1449; 4 Cited References (G5-G8)  
☒ Return Receipt Postcard

## Fee calculation:

- ☒ No additional fee is required.  
☐ Small Entity.  
☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).  
☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(p).  
☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$25=			x \$50=	
Indep.		Minus			x 100=			x \$200=	
First Presentation of Multiple Dep. Claim					+\$180=			+\$360=	
					Total	\$		Total	\$

## Fee payment:

- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed.  
☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.  
☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).  
☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

August 2, 2007  
Date

Tadashi Horie (Reg. No. 40,437)

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /JR/



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Date: August 2, 2007 Name: Tadashi Horie (Reg. No. 40,437) Signature: Tadashi Horie

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Toshihiko Oba  
Appln. No.: 09/673,360  
Filed: October 16, 2000  
For: SPEECH TRANSFORMATION  
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Attorney Docket No: 11934/3

Examiner: Pierre Myriam  
Art Unit: 2626

## SIXTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(c), Applicant hereby cites the following reference(s):

## U.S. PATENT DOCUMENTS

DOCUMENT NUMBER <small>Number-kind Code (if known)</small>	DATE	NAME
5,839,109	November 17, 1998	Iwamida
5,326,349	July 5, 1994	Baraff
5,283,833	February 1, 1994	Curhch
4,425,481	January 10, 1984	Mansgold et al.

**FOREIGN DOCUMENTS**

<b>DOCUMENT NUMBER</b> <small>Number-Kind Code (if known)</small>	<b>DATE</b>	<b>COUNTRY</b>
GB 2 256 959 A	December 23, 1992	GB
WO 97/29482 A	August 14, 1997	WIPO
EP 0 872 808 A1	October 21, 1998	EPO

**OTHER DOCUMENTS**

Supplementary European Search Report issued May 4, 2007 in the European Application No. 00903984.3
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Applicant is enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). As each of the listed references is in English, no further commentary is believed to be necessary, 37 C.F.R. §1.98(a)(3). Applicant respectfully requests the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

Reference G8 is a Supplementary European Search Report issued in the counterpart European Application. References G1 to G7 were cited in the Search Report.

By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicant certifies under 37 C.F.R. §1.97(e)(1) that each item of information in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement (a copy of any foreign communication first citing a listed reference is attached for the Examiner's reference). Accordingly, Applicant has calculated no fee to

be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

August 2, 2007

Date



Tadashi Horie (Reg. No. 40,437)



FORM PTO-1449	SERIAL NO. 09/673,360	CASE NO. 11934/3
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE October 16, 2000	GROUP ART UNIT 2626
(use several sheets if necessary)		APPLICANT(S): Toshihiko Oba

**REFERENCE DESIGNATION U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	G1	5,839,109	11/17/1998	Iwamida		
	G2	5,326,349	07/05/1994	Baraff		
	G3	5,283,833	02/01/1994	Curhch		
	G4	4,425,481	01/10/1984	Mansgold et al.		

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
	G5	GB 2 256 959 A	12/23/1992	GB		
	G6	WO 97/29482 A	08/14/1997	WIPO		
	G7	EP 0 872 808 A1	10/21/1998	EPO		

**EXAMINER  
INITIAL**

**OTHER ART – NON PATENT LITERATURE DOCUMENTS**

(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.

G8 Supplementary European Search Report issued May 4, 2007 in the European Application No. 00903984.3

EXAMINER /Justin Rider/	DATE CONSIDERED 02/12/2009
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /JR/